

To: HIPAA Workgroup Participants

October 26, 2001

From: Ken McKinstry
DMH's CIO

Re: HIPAA Workgroup Meeting, November 26, 2001 at 1:30 PM

THIS MEETING IS CLOSED TO VENDORS.

Enclosed is an Agenda for our next Health Insurance Portability and Accountability Act (HIPAA) Workgroup on Monday, November 26, at 1:30 PM, in the 714 P Street Auditorium. The Minutes from our prior meetings and any information I have received from the Sub-Workgroup leaders is also attached. Information is assembled in order of sub-groups and knowledge area. Any corrections would be appreciated. As noted in our Meeting, the main goal of the meeting is to ensure coordination and communication on the HIPAA components and issues.

At this meeting, in addition to the usual HIPAA topics we will discuss:

- Status of HIPAA in the State Budgetary Process

- Recent legislation.

- Issue resolution process:

- General departmental Status and issues

- General MSA Process Status

- Status on the development of the Office of HIPAA Implementation (OHI)

- Ensure that we have representation in upcoming National Forums, and

- Progress of the Sub-Workgroups.

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Call for topics:

Do you have any questions you would like to have addressed in the meeting?

Are there any topics that you would like to see more information on?

Please pass this information to me at the e-mail address or phone number below.

For remote participants, you can participate by teleconference by dialing in to the meeting. For the State-Wide HIPAA Workgroup and County-State Issues Sub-Workgroup (at 12:00) Meetings: the Participant Code is: 931798 and the International Access/Caller Paid Dial In Number: (775)324-1092 (same numbers for all). Please send me your comments so we can continue to improve this feature of our meetings. We have continued to add lines to accommodate more participants. If several people from one site wish to dial in, please try to use one phone at one site rather than consume the lines available.

Please contact me via e-mail at Kmcckinst@dmhhq.state.ca.us or at (916) 654-2466 if you have any questions on the HIPAA Workgroup.

THIS MEETING IS CLOSED TO VENDORS

HIPAA Workgroup Meeting

November 26, 2001, 1:30 PM

714 P Street Auditorium

	Topic	Presenter	Actions and Recent Developments
1	Introductions Review Agenda	Ken McKinstry	<u>Statewide workgroup objective:</u> Ensure coordination, communication and planning among the various departments and our business partners as we implement HIPAA. <u>Attendees:</u> State, county, currently contracted vendors and business partners
2	Office of HIPAA Implementation (OHI)	Burt Cohen, CHHS	SB456 was signed Oct. 8, 2001 and filed with the Sec. Of St on Oct. 9, 2001. OHI sent the HIPAA assessment document to: ?? Departments Directors ?? Board & Commission Executive Office The HIPAA assessment due to OHI December 31, 2001. Initial Assessment – Overview – Therese Hart Organizational/contact chart of OHI Staff - handout
3	MSA Workgroup	Judy Gelein, DHS	Still being developed. Reference www.dgs.ca.gov/PD For State or County Employees to volunteer for the MSA tasks call Pam at 324-4336.
4	Conferences	Ken McKinstry	Recent Conferences in CA: 11/12-14 WEDI SNIP HIPAA Implementation Summit, Orlando, FL. www.wedi.org
5	Project Coordination - Website for issues and comments - Issues pending on Website - Need to keep oversight simple	Ken McKinstry	Website for issues: 7/30 - Website presented for issue resolution process. 8/20 – Will discuss login and comment process. Recent News: Federal SB 836 Introduced 5/7/01. Proposes to delay implementation until 10/16/04 or until 2 years after all rules are approved except individual identifier. Washington DC is discussing 1, 2 and no year extensions to HIPAA timelines. Bill Braithwaite on Nov. 2, regarding schedules: Security rule and Employer Identifier rule by December 31, 2001, Health Plan Identifiers and Provider Identifiers should be published early in 2002 Draft regulation for electronic medical records for public review by the end of 2002 NPRM on Doctors First Report of Injury expected in 2002 "There is much work left to do" on the enforcement NPRM, release some time in 2002 Draft rule modifying Privacy Rule apparently on schedule for release December this year Websites: <u>HIPAA Statewide and Sub-Workgroup meetings</u> For a most current list of HIPAA Statewide and Sub-Workgroup meetings and contact information, go to: www.dmh.cahwnet.gov/hipaa2001/3.asp DMH Public HIPAA www.dmh.ca.gov/HIPAA/HIPAA.htm DMH Workgroup's main HIPAA Site www.dmh.cahwnet.gov/hipaa2001/default.asp DDS Private HIPAA www.dds.ca.gov/HIPAA/main/HIPAA/links.cfm Federal DHHS http://aspe/os/dhhs.gov/admsimp/index.htm Workgroup for Electronic Data Interchange (WEDI) www.wedi.org/ ASC X12N (access via DISA site) and follow the links www.disa.org Health Level 7 www.hl7.org HIPAA Training is available at the HHSDC, see www.training.ca.gov

	Topic	Presenter	Actions and Recent Developments
6	County Issues	Ray Reis, Sacramento Co Mike McDaniel, DHS	<u>State Forums :</u> <u>Recent Developments</u> Working on Website for issue resolution
7	Transaction and Code Sets Standards	Karen Weber of DHS	<u>Final Rule and Revisions:</u> Implement by 10/16/2002 <u>Status and Issues:</u>
8	Privacy, Confidentiality and Security Standards Legal Issues Fed vs State Law Security & Privacy Assessment Application Data Sharing – find out your external interfaces	Rachel Strider, Mary Pecci, and Shneor Sherman, DHS David Smith Shneor Sherman Shneor Sherman	<u>Final Rule and Revisions:</u> Rule published 12/20/00. Need compliance by 4/14/03. 10/22 - Team was formed, lead by Dsmithc@co.sandiego.ca.us to consider the effort noted. Ken discussed a need for enhanced security processes in some entities. A handout related to potential information sources was shared and is attached to this document.
9	Unique Identifiers Standards (Provider, Employer and Health Plan)	Sara-Jane Gilb, DMH	<u>Final Rule and Revisions:</u> Rule estimated in 2001 (?) & compliance in 2003(?). <u>Status and Issues:</u> NPI (EIN needed by 837 Implementation date, 10/16/02). Issues: Discussed Provider Taxonomy and implications to us all. - There is a need for comments in the 30 day period coming up. - Role / implications of the Taxonomy on licensing functions and issues. - Provider Taxonomy Website is http://www.wpc-edi.com/taxonomy/
10	Communications	Marilyn VonBrauchitsch of LA Co. and Marchel Burgess of DHS	<u>Status:</u> Workgroup started. 10/22 – Therese Hart had to resign as the lead. A new leader is needed. Any volunteers???? Many thanks to Marilyn and Marchel for taking the lead of this important workgroup!!!!
11	Next Meeting Delta Adjourn	Ken McKinstry, DMH	<u>Future Meetings:</u> NOTE ADDRESS CHANGES!!!! Dec 17, from 1:30 to 4:00 pm at the 714 P Street Auditorium Jan 07, from 1:30 to 4:00 pm at the 714 P Street Auditorium <u>Recommendations for Improved Meetings</u>

For questions contact Ken McKinstry at (916) 654-2466 or kmckinst@dmhhq.state.ca.us

Inter-Departmental Workgroups and Leads

1. Transactions and Code Sets Standards

Karen Weber	255-6025	Kweber@dhs.ca.gov
Marchel Burgess	255-5211	Mburge10@dhs.ca.gov
Terrie Williams	323-2058	Twilliams@adp.state.ca.us

2. Privacy, Confidentiality and Security Standards; Legal Issues

Rachel Strider	654-0629	Rstrider@dhs.ca.gov
Mary Pecci	255-6008	mpecci@dhs.ca.gov

3. Unique Identifiers Standards

Sara Gilb	653-8788	Sgilb@dmhhq.state.ca.us
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4. County – State HIPAA Issues

Ray Reis	875-9708	reisr@saccounty.net
Mike McDaniel	657-1564	MmcDanie@dhs.ca.gov

5. Communications (External Interfaces)

Marilyn VonBrauchitsch	(213) 381-8353	Mvonbrauchitsch@dmh.co.la.ca.us
Marchel Burgess	(916) 255-5211	Mburge10@dhs.ca.gov

Various Meetings

HIPAA Statewide and Sub-Workgroup meetings

For a most current list of HIPAA Statewide and Sub-Workgroup meetings and contact information, go to: www.dmh.cahwnet.gov/hipaa2001/3.asp

Upcoming Meetings:

- 11/29-30 Compliance in Medical Research and Academic Medical Centers Forum, Health Care Compliance Association (HCCA), Doubletree Hotel, Anaheim, CA, www.hcca-info.org/documents/acad-comp.pdf
- 12/2 NAHDO's Meeting for State Officials, Washington DC, www.nahdo.org
- 12/3-4 NAHDO's 16th Annual Meeting, Washington DC, www.nahdo.org
- 12/3-5 Health Care Compliance Assoc. Conference, San Diego www.hcca-info.org/html/conferences.html#HIPAAForum
- 12/3-5 2nd Annual Privacy by Design 2001 Conference Zero-Knowledge, Fairmont The Queen Elizabeth Hotel, Montreal, Quebec, Canada, <http://privacy.zeroknowledge.com/privacybydesign2001/default.asp>
- 12/4-5 HCCA/AHA HIPAA Forum, San Diego, CA
- ongoing A series of Health Care EDI Seminars are being offered by the Data Interchange Standards Association (DISA) over the next several months in various locations throughout the U.S., see: www.disa.org/SeminarSeries_hcedi.cfm
- 1/7-9/02 NMHCC, Congress on Medicaid & Medicare, Washington, DC
- 1/7-11 HL7-Jan. Working Gp. Mtg., San Diego, CA
- 1/17-18 Getting Practical with Privacy, Southern Medical Assoc. and AHIMA component state associations, Call: (312) 233-1100, Sheraton Gateway Hotel, San Francisco International Airport, San Francisco, CA
- 1/17-20 ASAP-Tech Conference, Charleston, SC
- 1/27-31 HIMSS Annual Conference, Atlanta, GA
- 2/11-13 HARP HIPAA Training, Atlanta, GA
- 4/7-10 4th Annual International Techno-Security 2002 Conference (including a half-day HIPAA compliance seminar), TheTrainingCo., Wyndham Myrtle Beach Resort, Myrtle Beach, SC, see www.techsec.com/html/HIPAA.html
- 5/14-16/2002 WEDI 2002 National Conference, Hyatt Regency, Denver, Colorado, www.wedi.org/public/calendar

NOTE: An “*” in the left column above notes that CA may want to ensure representation in the meeting.

Security Rule Information

The Federal DHHS site related to the Security Rule is:

<http://aspe.hhs.gov/admsimp/bannerps.htm#security>

WEDI-SNIP has a number of documents available:

<http://snip.wedi.org/public/articles/index.cfm?Cat=17>

The Association for Electronic Health Care Transactions (AFEHCT) website

<http://www.afehct.org/security.asp> has a wide variety of HIPAA related security documents:

Glossary of security terms <http://www.afehct.org/pdfs/glossary.pdf>

Security matrix <http://www.afehct.org/pdfs/matrix.pdf>

Security - Self-Evaluation Checklist <http://www.afehct.org/securityeval.html>

Other related Websites:

<http://www.incidents.org/>

<http://www.nipc.gov/>

<http://www.nsi.org/Default2.html>

<http://www.fedcirc.gov/>

<http://www.cert.org/>

<http://www.cisecurity.org/>